Webinar

with David B Moore and Alikki Vernon (Australia), moderated by Kerry Clamp (UK)

The reforming potential of restorative practices

Zoom, Monday 7 October 2024 10:00-11:30 (CET)

This webinar, hosted by the European Forum for Restorative Justice (EFRJ), presents key ideas from <u>Setting Relations Right in Restorative Practice</u> (2024), the inaugural text in the Routledge series <u>Contemporary Issues in Restorative Practice</u>.

This summary serves as a preparation for webinar's participants.

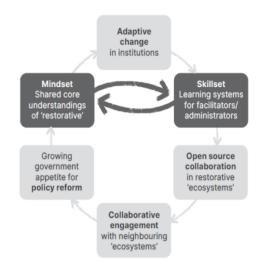
SESSION SUMMARY

The term *restorative practice* is used for a range of processes and techniques that support people to learn, to heal, and to work together to improve their circumstances. Restorative practice can respond to harm with healing in justice system programs, manage relationships in educational and other communities, and *link individual healing with* institutional reform – including reform of political institutions.

At this time when representative democracies are succumbing to polarisation, with slogans proposing simplistic answers to complex social challenges, lessons from restorative practice can also support democratic healing across multiple levels of government. Lessons on effective facilitation and optimal process format can help to increase citizen engagement, harnessing the wisdom of the crowd in citizens assemblies to develop socially acceptable and appropriately complex responses to complex challenges.

The potential and need for restorative reform are great. Yet a vicious cycle of mutually reinforcing factors seems to thwart reform. The opening chapter of Setting Relations Right identifies elements of this vicious cycle. The final chapter identifies elements of a counterpart virtuous circle:





Figures from chapters 1 and 6 of SRR

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The 'flywheel' to create-and-maintain momentum for reform in this social movement seems to be the **coevolution of theory** (mindset) and **practice** (skillset). The book outlines how restorative facilitators – and program administrators and evaluators – can be supported to consolidate and extend their foundational skills through a system for learning on-the-job: a form of **apprenticeship**.

This effective learning system is based, in turn, on foundational distinctions between:

- knowing about and knowing how to;
- disputes and conflict;
- principles, programs, and processes;
- program administration and process facilitation;
- programs of restorative justice, restorative practices, and restorative engagement;
- the habits that guide actions of individuals, partners in dyadic relationships, and the members of groups, organisations, cultures and *social movements*.

The book describes how work informed by these **distinctions** can help to:

- integrate trauma-informed-& restorative practice;
- **link** primary, secondary, and tertiary prevention to improve regional service-delivery.

Trauma-informed and restorative practice share the same essential practical theory:

a narrative account of what has happened can reveal meaning — and especially the origins & underlying logic of rules—&-routines or habits.

Setting Relations Right opens with a brief narrative of the social movement for restorative practice, including the origins of some habitual ways of thinking and acting within that movement, then outlines the core skillset of restorative practitioners, which has been developed-and-tested over several decades across a wide range of restorative programs.

PRINCIPLES

The authors distil the core *principles* of *restorative* practice as:

- Doing no further harm
- Working with people (rather than doing things to or for them)
- Setting relations right (by supporting learning, healing, & wellbeing)

Setting relations right can mean that relationships in a social network are:

- "restored" [to something positive];
- deepened;
- "neutralised" [and so no longer involve intense conflict];
- formally ended [and so become effectively non-existent]; &/or
- established [between participants meeting for the first time]



PROGRAMS

The authors distinguish programs of:

- Restorative Justice: which involves responding to harm with healing (in justice system programs);
- Restorative Practices:
 processes & techniques that help to build, maintain, deepen, & repair relations in communities:
 including schools, workplaces, extended families, and so on;
- Restorative Engagement:
 a process in Redress Schemes to link individual recovery & institutional reform.

The common element across these different types of programs is the use of **facilitated processes**, typically convened in a circle format, that support participants to reach (i) a *shared understanding* of current circumstances, then (ii) some *agreement* on how to improve those circumstances.

Restorative Justice programs use slightly different criteria for referral to a restorative process at different stages of the criminal (or civil) justice system:

- diversion away from court by community &/or police
- sentencing support in court
- setting relations right after court
- planning before release from corrections
- community support after release from corrections

Restorative practices can be understood less as 'another new program' and more as a continuous exercise of reviewing, fine-tuning, & aligning the communication practices used to manage relationships in a community.

Restorative practices can be understood as a system for improving the ways in which people provide each other feedback, converse, mediate the conversations of others, and facilitate meetings among larger groups. The most familiar applications of restorative practices have been in schools and other workplaces — but the same general approach can be applied in any community. A system for **improving** communication **systems** requires a system for **learning-by-doing**, so implementing restorative practices requires that some individual or group is responsible for **coordinating** this learning system.

Restorative engagement is typically provided as a *program-within-a-*(larger)-*program* or scheme that provides redress to a group of people harmed within &/or by an institution.

The essential rationale for restorative engagement is that many people who have been harmed within and/or by an institution sense a connection between healing their own complex harm, improving relations among their community-of-care, and seeing evidence of reform of the institution-associated-with-the-harm. People in authority cannot deeply understand what happened and the impact of what happened, without engaging directly with those-who-have-been-harmed.

The Australian <u>Defence Abuse Response Taskforce</u> (DART) (2012–2016) developed the first <u>Restorative Engagement program</u>. The key design elements of the DART included:

— Independent, external & impartial administration;

Supported by the Justice Programme of the European Union



- A standard of proof of plausibility, which is lower than the standards used in criminal and civil courts, and so allows for administrators to assess the eligibility of those seeking support from the scheme;
- A redress package consisting of three elements:
 - a one-off financial reparation payment;
 - therapeutic support [counselling / wellbeing services];
 - A process of restorative engagement between a person-harmed in &/or by the institution, and a senior manager who takes responsibility for understanding and acknowledging what happened, then for identifying the lessons from that experience and doing something with those lessons.

A restorative engagement conference works, in a logical order, through each of the **elements of an effective apology**: *recognising* what happened and the harm caused; seeking to understand the *reasons* why it happened and caused that harm; accepting *responsibility* to address the harm; expressing sincere *regret*; then considering appropriate *redress*, and what still needs to be done, to prevent recurrence. Within a program of redress, this process effectively *decentralises* learning–andhealing in each individual case. However, the host *program* can then 'recentralise' learning, by capturing lessons from individual cases and distilling these into *collective* lessons for systemic institutional reform.

The goal of linking healing at individual, institutional, and systemic levels is consistent with core restorative principles: do no further harm, and work with others to set relations right. The principle of setting relations right involves a quest for peace, with other people, but also within oneself, and with entities that have caused harm. The ideal result is a virtuous circle of mutually supportive individual recovery and institutional reform. The systematic application of these restorative principles in the DART demonstrated how a restorative process can support this transformative justice of systemic change. Several other redress schemes have since replicated the essential elements of the DART, including that tripartite redress package including the option of restorative engagement:

- A Defence Restorative Engagement program was re-established, at the conclusion of the Taskforce in 2016, within the Office of the Commonwealth Ombudsman.
- A <u>National Redress Scheme</u> (NRS) (2018–)
- [with restorative engagement renamed a Direct Personal Response]
- The Victoria Police Restorative Engagement & Redress Scheme (2019-)
- Ambulance Victoria
- Mothers subjected to <u>forced adoption</u>
- <u>Care leavers</u> [who were in institutional care as children]
- Members of the Stolen Generations (2022-)
- [with restorative engagement renamed Personal Acknowledgement]
- The Australian Sports Commission: Elite Sports (2022-)
- Services Australia after 'RoboDebt' (2023-)
- [an in-house program with restorative engagement renamed Listen to Learn]



PROCESSES

The second chapter of Setting Relations Right explains four different group conference formats, all informed by the same core practical theory. Each format addresses distinct circumstances, namely:

- 1. An incident of undisputed harm
- 2. The legacy of a sequence of poorly resolved-incidents &/or issues
- 3. Some complex issue of common concern
- 4. The legacy of harm caused in &/or by an institution

The first format is the most widely used in restorative justice programs, the second and third formats are most widely used in restorative practices, and the fourth format is used as restorative engagement, and primarily in redress schemes. All these types of group conference can:

- bring together a network of people who can provide insight, support & oversight
- involve those people in "sense-making" & "agreement-making"
- through sense-making or truth-telling: transform conflict into cooperation
- harness that *cooperation* to develop a pragmatic *agreement* to:
 - respond with authority to harm,
 - prevent further harm, &/or
 - promote healing and well-being;
- coordinate "community" & "official" support & oversight

Programs offering the restorative process of a group conference provide administrative guidelines on standard specific actions required in every case. But since every case is different, restorative facilitators need more than administrative guidelines.

To guide the variation required to set relations right in each case, facilitators must also follow general principles. The third chapter of Setting Relations Right outlines in detail the principles and core skills that restorative facilitators use to diagnose each case accurately, define the best process to address it, prepare participants, ask questions so that each participant can relate their experience effectively, negotiate an agreement that supports all participants, and engage in reflective practice, which supports participants to follow-through on agreements and professionals to learn from experience.

Coordination across services enables a group of colleagues from different agencies to learn these same core skills on-the-job through an *apprenticeship*, which starts with solid foundational training, followed by learning-by-doing, on-the-job, so that they do no further harm, and work with community members to set relations right. The core elements of effective regional service reform are thus to:

- coordinate the strategy of government- and NGO agencies that provide services across the region, so that these agencies do not work at cross-purposes, and instead work together, and at times even work as one. When agencies share a clear understanding of how restorative practice work and what they can achieve, key decision-makers can identify relevant cases and refer them to the right restorative service provider.
- build the capacity of individual workers, and work teams, in government- and NGO serviceproviders, so that workers support each other effectively, as they support community members to build relationships, responsibility, and respect.

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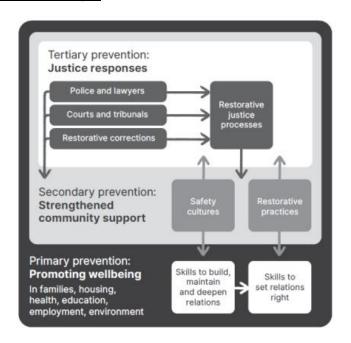
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Justice reinvestment can then be a side-effect of **regional services reform**, as represented visually in <u>chapter four of Setting Relations Right</u>.



EVALUATION

There have been many evaluations of programs that use some form of group conference. The first randomised trial of group conferencing in restorative justice was conducted in the Australian Capital Territory (ACT) from 1994 - 1999. It was followed by a total of ten randomised trials conducted in the UK from 2001 - 2013. These evaluations all produced much the same basic findings: Yes, group conferences **do** prompt significant positive **behavioural changes**, including:

- healing for all those who have been harmed
- decreased reoffending by people who have caused harm, and:

Group conferences are appropriate in cases involving:

- adults who have caused harm
- violent crimes and more serious property crimes.

These findings, and related evaluations, informed the following succinct summary from the Australian Institute of Criminology in 2014:²

Where restorative justice is done well, it goes beyond what traditional responses can achieve and as a result, the potential impact upon individuals, communities and society is substantial. Restorative justice is about more than traditional notions of justice—it is about repairing harm, restoring relationships and ultimately, it is about strengthening those social bonds that make a society strong. Rather than pitting restoration against retribution and seeking to find the 'best'

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¹ Sherman, L.W., Strang, H., Mayo-Wilson, E. et al. 2015 'Are Restorative Justice Conferences Effective in Reducing Repeat Offending? Findings from a Campbell Systematic Review' Journal of Quantitative Criminology vol. 31

² Joudo Larsen J 2014. <u>Restorative justice in the Australian criminal justice system.</u> Research and public policy series no. 127. Canberra: Australian Institute of Criminology.



answer to addressing offending, restorative justice practices can be both 'an alternative to, or an extension of traditional responses to criminal behaviour (KPMG 2010: 17).

Perhaps in another decade or so, when the next review of restorative justice in Australia is compiled, debate and research in the area will have moved away from questions of 'does it work' to focus on how, when and for whom it works best.

Some important research on how the process works was already underway at this time in a key Australian state-wide program. Researchers from Swinburne University in Melbourne used lifecourse methodology to identify what factor in a group conference most causes behavioural change:3

The Swinburne study tracked 800 Victorian Children's Court cases between 2012 – 2018 (with a control group of 1500), and again found that YES, group conferencing prompts significant positive behavioural changes AND is appropriate in cases involving violent crime & more serious property crime. Importantly, the Swinburne study also found that:

- the sentence received from court does not predict recidivism;
- recidivism is most reduced when primary and secondary victims attend together...AND it is still reduced when secondary victims attend in the place of a primary victim;
- recidivism is reduced when the police officer actually-involved-with-the-case attends.

All these findings strongly suggest (or confirm) that:

- Involving communities-of-care in the process is the factor that most transforms conflict into cooperation;
- Tin short: the most significant change occurs at the level of the group.

Similar findings are emerging from recent evaluations of group conferences used for more complex cases, including family violence. This work requires highly experienced convenors, and highlights the need to provide them with skilled clinical supervision.4

Evaluating restorative practices and restorative engagement is more challenging: it requires more detailed evaluation of the program and sometimes also evaluating multiple processes. Setting Relations Right distils, categorises, and links evidence about these programs from a range of sources: (i) external and in-house formal evaluations, (ii) large-scale anecdotal evidence, (iii) natural experiments, where different programs and jurisdictions collectively suggest optimal arrangements for program administration and process facilitation, and (iv) emerging consensuses from related fields.

For example, well-facilitated group conferences convened post-sentencing have been shown to produce sustained therapeutic recovery for participants.⁵ Restorative engagement conferences have a similar dynamic – for which the reason only became clear in retrospect. In an institutional context, the person who can make the most from lessons of experience is a senior manager with the authority to effect institutional change. An emerging consensus in the literature on trauma and

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³ Bonett, R.J.W., Lloyd, C.D., & Ogloff, J.R.P. (2022) <u>Group Conferencing Effects on Youth Recidivism and Elements of Effective Conferences</u> Centre for Forensic Behavioural Science, Swinburne University of Technology, Melbourne, Australia

⁴ Lawler, S. Boxall, H. & Dowling, C. (2023) <u>Restorative justice conferencing for domestic and family violence and sexual violence: Evaluation</u> of Phase Three of the ACT Restorative Justice Scheme, Canberra: Australian Institute of Criminology

⁵ Bolitho, J. (2015) <u>Putting justice needs first: a case study of best practice in restorative justice. Restorative Justice</u>, 3(2)



recovery is that recovery from institutional harm requires the *truth and repair* provided by a restorative program that offers both a public *and* a personal apology.⁶

Evaluations of **restorative practices** across multiple schools indicate a reduction in distress on the part of students and staff, and a more harmonious learning community. Larger–scale evaluations highlight the foundational importance of a coherent and *publicly articulated philosophy.*⁷ These core lessons from school communities translate directly to other **workplaces**: mechanisms that give community members a voice, and engage them actively in continuous improvement, are consistent with principles of *dynamic safety*, which can make workplaces both physically and psychologically safer.⁸

The demonstrated efficacy of restorative programs and processes begs the question:

Why have programs of restorative justice, restorative practices, and restorative engagement still not been widely implemented?

- In the absence of pressure for systemic change, people working in large systems generally tend to keep doing-whatever-they're-doing. This is especially true of functional monopolies – organisations and systems that are not subject to competitive pressure to change adaptively.°
- Senior decision-makers and other potential reformers may comprehend the principles, and the
 rationale for operating a program, but cannot operate a program if restorative processes are
 not widely- or well-understood.
- To administer a *program* that delivers well-facilitated *processes* requires a particular understanding (*mindset*) and a *skillset* in both administration AND *facilitation*.
- Acquiring facilitation skills requires an apprenticeship, starting with foundational training based on accurate practical theory, and continuing with regular opportunities for reflective practice.
- To develop a common mindset and skillset among a group of facilitators requires coordination across units &/or services – ideally supported by communities of practice.
- Program administrators and evaluators can benefit from similar coordinated support for systemic learning among colleagues.
- An emerging network of skilled practitioners can maintain momentum to create a healthy ecosystem of restorative practice.

An earlier version of these summary notes was prepared for the Mint House, Oxford.

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See also the website for the <u>Australian Association for Restorative Justice</u>.

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⁶ Herman, J. (1993/2015) Trauma and Recovery: The Aftermath of Violence—From Domestic Abuse to Political Terror; (2023) Truth and Repair: How Trauma Survivors Envision Justice

⁷ Reimer, K.E. (2019) <u>Adult Intentions, Student Perceptions How Restorative Justice is Used in Schools to Control and to Engage</u>,

⁸ <u>Dekker, S. Oates, A. & Rafferty, J (2022) Restorative Just Culture in Practice: Implementation and Evaluation</u>

⁹ Market, state *and* third sector organisations can all function as near–monopolies, albeit with the different causes of (i) market dominance, (ii) state–granted authority over policy–and–practice, or (iii) guaranteed grant–funding.